SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person (Check all applicable) Harmony Biosciences Holdings, Inc. [HRMY Dayno Jeffrey M. Director 10% Owner 1 Officer (give title Other (specify Х below) below) (Middle) (Last) (First) 3. Date of Earliest Transaction (Month/Day/Year) **Chief Medical Officer** 630 W. GERMANTOWN PIKE, SUITE 215 08/18/2020 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable (Street) Line) PLYMOUTH 19462 PA Х Form filed by One Reporting Person MEETING Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 7. Nature of 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 5. Amount of 6. Ownership Securities Beneficially Owned Following Form: Direct (D) or Indirect (I) (Instr. 4) Indirect Beneficial Date Execution Date Transaction if any (Month/Day/Year) Code (Instr 8) (Month/Day/Year) Ownership Reported (Instr. 4) (A) or (D) nsaction(s) Tra Code v Amount Price (Instr. 3 and 4) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 5. Number of 6. Date Exercisable and 9. Number of 1. Title of 3. Transaction 3A. Deemed 7. Title and Amount 8. Price of 11. Nature 2. Conversion Ownership Derivative Date Execution Date Transaction Derivative Expiration Date of Securities Derivative derivative of Indirect or Exercise Price of Derivative (Month/Day/Year) Underlying Derivative Security (Instr. 3 and 4) Form: Direct (D) or Indirect Security (Instr. 3) if any (Month/Day/Year) Code (Instr. Securities (Month/Day/Year) Security (Instr. 5) Securities Beneficially Beneficial Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 8) Ownership (Instr. 4) Owned Following Security (I) (Instr. 4) Reported Transaction(s) (Instr. 4) Amount or Expiration Date Number of Shares Date Exercisable (D) Code v (A) Title Stock Commor \$<mark>24</mark> 08/18/2020 132.260 (1)08/18/2030 132,260 \$0.00 132,260 D A Stock Option

Explanation of Responses:

1. The stock option shall vest in five equal annual installments beginning on August 21, 2021.

Remarks:

/s/ Stephanie Wisdo, Attorneyin-fact for Jeffrey M. Dayno

08/20/2020

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.